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PATENT

2171
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SP
7-23-03

Attorney Docket No. GIST-005/01US

Express Mail Label Number: EL 870631014 US

Date of Deposit: July 17, 2003

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By: Sherry Duncan Bitler
Sherry Duncan Bitler

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of ROTH, et al.

Serial No.: 10/087,516

Examiner: [Not Yet Assigned]

Confirmation No.: 4083

Art Unit: 2171

Filed: 03/01/2002

FOR: SYSTEM AND METHOD FOR GENERATING A RECOMMENDATION GUIDE FOR USE
WITH AN EPG

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ___ in the amount of \$___ for the total fee is attached.

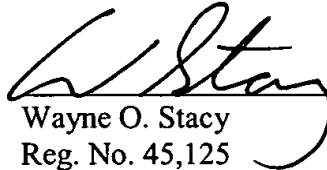
- ☒ A return receipt postcard is also enclosed.
- ☐ Please charge \$___ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

COOLEY GODWARD LLP
ATTN: Patent Group
One Freedom Square
Reston Town Center
11951 Freedom Drive
Reston, VA 20190-5656
Tel: (720) 566-4125
Fax: (720) 566-4099

Respectfully submitted,
COOLEY GODWARD LLP

By:


Wayne O. Stacy
Reg. No. 45,125

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of ROTH, et al.

Serial No.: 10/087,416

Examiner: [Not Yet Assigned]

Confirmation No.: 4083

Art Unit: 2171

Filed: 03/01/2002

FOR: SYSTEM AND METHOD FOR GENERATING A RECOMMENDATION GUIDE FOR USE
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Mail Stop Patent Application
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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.

- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) ___. English language publication ___ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ___ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) ___ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. ___.

This Information Disclosure Statement is filed within any one of the following time periods:

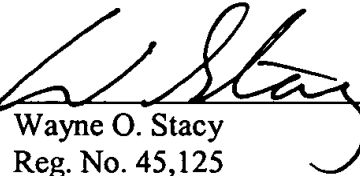
- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

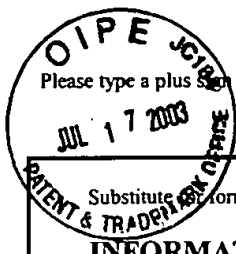
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

COOLEY GODWARD LLP
ATTN: Patent Group
One Freedom Square
Reston Town Center
11951 Freedom Drive
Reston, VA 20190-5656
Tel: (720) 566-4125
Fax: (720) 566-4099

Respectfully submitted,
COOLEY GODWARD LLP

By:


Wayne O. Stacy
Reg. No. 45,125



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PTO/SB/08A (08-00)

Substitute Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known RECEIVED JUL 21 2003 Technology Center 2100	
Application Number	10/087,516			
Filing Date	03/01/2002			
First Named Inventor	Roth, et al.			
Group Art Unit	2171			
Examiner Name	[Not Yet Assigned]			
Attorney Docket Number	GIST-005/01US			
Sheet	1	of	8	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
		2001/0001159 A1		Ford	05/10/2001
		2001/0013126 A1		Lemmons et al.	08/09/2001
		2001/0014976 A1		Roop et al.	08/16/2001
		2001/0024566 A1		Mankovitz	09/27/2001
		2001/0027562 A1		Schein et al.	10/04/2001
		2001/0047298 A1		Moore et al.	11/29/2001
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		2002/0031331 A1		Kwoh et al.	03/14/2002
		2002/0042913 A1		Ellis et al.	04/11/2002
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		2002/0042920 A1		Thomas et al.	04/11/2002
		2002/0042921 A1		Ellis	04/11/2002
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		2002/0059607 A1		Satterfield	05/16/2002
		2002/0078450 A1		Bennington et al.	06/20/2002
		2002/0092017 A1		Klosterman et al.	07/01/2002
		4,203,130		Doumit et al.	05/13/1980
		4,346,970		Kawabata et al.	08/31/1998
		4,706,121		Young	11/10/1987
		4,751,578		Reiter et al.	06/14/1988
		4,847,825		Levine	07/11/1989
		4,908,713		Levine	03/13/1990
		4,977,455		Young	12/11/1990
		4,982,344		Jordan	01/01/1991
		5,016,115		Calkins	05/14/1991

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

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				Application Number	10/087,516	
Sheet	2	of	8	Filing Date	03/01/2002	
				First Named Inventor	Roth, et al.	
				Group Art Unit	2171	
				Examiner Name	[Not Yet Assigned]	
				Attorney Docket Number	GIST-005/01US	

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		Number	Kind Code ² (if known)		
		5,038,211		Hallenbeck	08/06/1991
		5,123,046		Levine	06/16/1992
		5,151,789		Young	09/29/1992
		5,223,924		Strubbe	06/29/1993
		5,253,066		Vogel	10/12/1993
		5,293,357		Hallenbeck	03/08/1994
		5,297,204		Levine	03/22/1994
		5,307,173		Yuen et al.	04/26/1994
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		5,365,282		Levine	11/15/1994
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		5,515,173		Mankovitz et al.	05/07/1996
		5,523,794		Mankovitz, et al.	06/04/1996

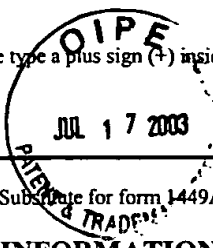
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		Application Number	10/087,516
		Filing Date	03/01/2002
		First Named Inventor	Roth, et al.
		Group Art Unit	2171
		Examiner Name	[Not Yet Assigned]
Sheet 3 of 8	Attorney Docket Number	GIST-005/01US	

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		Number	Kind Code ² (if known)		
		5,523,796		Marshall et al.	06/04/1996
		5,532,732		Yuen et al.	07/02/1996
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		5,548,338		Ellis et al.	08/20/1996
		5,550,576		Klosterman	08/27/1996
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		5,592,551		Lett et al.	01/07/1997
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		5,619,247		Russo	04/08/1997
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		5,659,367		Yuen	08/19/1997
		5,666,645		Thomas et al.	09/09/1997
		5,673,089		Yuen et al.	09/30/1997

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				Application Number	10/087,516	
				Filing Date	03/01/2002	
				First Named Inventor	Roth, et al.	
				Group Art Unit	2171	
				Examiner Name	[Not Yet Assigned]	
Sheet	4	of	8	Attorney Docket Number	GIST-005/01US	

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		Number	Kind Code ² (if known)		
		5,684,525		Klosterman	11/04/1997
		5,692,214		Levine	11/25/1997
		5,696,869		Abecasis	12/09/1997
		5,701,383		Russo et al.	12/23/1997
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		5,748,716		Levine	05/05/1998
		5,760,821		Ellis et al.	06/02/1998
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		5,781,246		Alten et al.	07/14/1998
		5,790,198		Roop et al.	08/04/1998
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		5,805,204		Thompson et al.	09/08/1998
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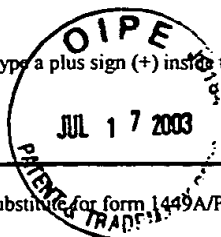
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		5,901,246		Hoffberg et al.	05/04/1999
		5,903,454		Hoffberg et al.	05/11/1999
		5,915,026		Mankovitz	06/22/1999
		5,915,068		Levine	06/22/1999
		5,917,481		Rzeszewski et al.	06/29/1999
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		5,963,264		Jackson	10/05/1999
		5,963,957		Hoffberg et al.	10/05/1999
		5,969,748		Casement et al.	10/19/1999
		5,970,206		Yuen et al.	10/19/1999
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		5,987,213		Mankovitz et al.	11/16/1999
		5,988,078		Levine	11/23/1999
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		6,002,444		Marshall et al.	12/14/1999
		6,016,141		Knudson et al.	01/18/2000
		6,020,929		Marshall et al.	02/01/2000
		6,025,837		Matthews, III et al.	02/15/2000
		6,025,868		Russo	02/15/2000
		6,049,652		Yuen et al.	04/11/2000
		6,052,145		Macrae et al.	04/18/2000
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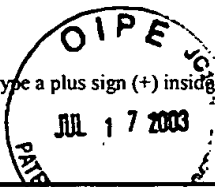
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		Number	Kind Code ² (if known)	
		6,075,575		Schein et al.
		6,078,348		Klosterman et al.
		6,081,750		Hoffberg et al.
		6,085,253		Blackwell et al.
		6,091,882		Yuen et al.
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		6,115,057		Kwoh et al.
		6,118,443		Allison et al.
		6,118,492		Milnes et al.
		6,122,011		Dias et al.
		6,125,231		Yuen et al.
		6,137,549		Rasson et al.
		6,137,950		Yuen
		6,141,488		Knudson et al.
		6,144,401		Casement et al.
		6,147,715		Yuen et al.
		6,154,214		Uyehara et al.
		6,157,413		Hanafee et al.
		6,160,546		Thompson et al.
		6,167,188		Young et al.
		6,177,931 B1		Alexander et al.
		6,181,344 B1		Tarpenning et al.
		6,181,364 B1		Ford
		6,195,667 B1		Duga et al.
		6,204,796 B1		Chan et al.
		6,204,885 B1		Kwoh
		6,209,129 B1		Carr et al.
		6,209,130 B1		Rector, Jr. et al.
		6,216,265 B1		Roop et al.

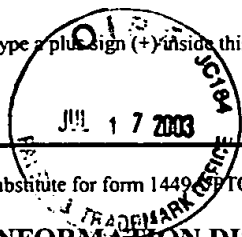
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Sheet 7 of 8	Attorney Docket Number	GIST-005/01US	

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Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
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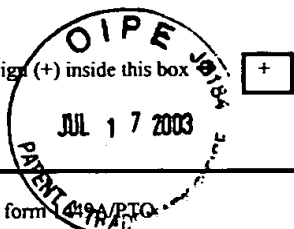
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		RECEIVED JUL 21 2003 Technology Center 2100	
Application Number	10/087,516	Filing Date	03/01/2002
First Named Inventor	Roth, et al.	Group Art Unit	2171
Examiner Name	[Not Yet Assigned]	Attorney Docket Number	GIST-005/01US
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